

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	: to be assigned	Confirmation No.	: to be assigned
Applicant	: Baker Thompson et al.		
Filed	: Herewith		
Title	: AUTOMATIC CARD SHUFFLER		
TC/A.U.	: 3711		
Examiner	: Dolores R. Collins		
Docket No.	: 6331.00018		
Customer No.	: 29747		

Mail Stop PATENT APPLICATIONS
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing references that are known to applicant. Copies of the listed references are not enclosed in accordance with the waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. Also, enclosed is a copy of the International Search Report. No representations are made as to the completeness of this list, nor is the submission of the above references an admission that they are prior art or relevant to the subject application.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-

Application No. to be assigned

1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Quirk & Tratos
3773 Howard Hughes Pkwy.
Suite 500 North
Las Vegas, Nevada 89109
Telephone: 702-792-3773
Facsimile: 702 792-9002

Respectfully submitted,

By: 

Rob L. Phillips
Registration No. 40,305

January 14, 2004

Enclosures: PTO-1449 (3 pages)
International Search Report

G:\APatent\VendingData\00018-CON.Poker One\PTO.IDSw-app.wpd

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Thompson Baker
Art Unit	3711
Examiner Name	Dolores R. Collins
Attorney Docket Number	

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,659,082	04/21/1987	Greenberg	
		US- 4,807,884	02/28/1989	Breeding	
		US- 5,303,921	04/19/1994	Breeding	
		US- 5,676,372	10/14/1997	Sines et al.	
		US- 6,250,632	06/26/2001	Albrecht	
		US- 6,299,167	10/09/2001	Sines et al.	
		US- 6,325,373	12/04/2001	Breeding et al.	
		US- 6,019,368 A	02/01/2000	Sines et al.	
		US- 6,582,301 B2	06/24/2003	Hill, Otho Dale	
		US- 5,303,921 A	04/19/1994	Breeding, John G.	
		US- 5,275,411 A	01/04/1994	Breeding, John G.	
		US- 6,254,096 B1	07/03/2001	Grauzer et al.	
		US- 5,584,483 A	12/17/1996	Sines et al.	
		US- 6,139,014 A	10/31/2000	Breeding et al.	
		US- 6,149,154 A	11/21/2000	Grauzer et al.	
		US- 6,068,258 A	05/30/2000	Breeding et al.	
		US- 4,770,421	09/13/1988	Hoffman, Lionel	
		US- 4,515,367	05/07/1985	Howard, Robert	
		US- 3,589,730	06/29/1971	Slay, John P.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Thompson Baker
Art Unit	3711
Examiner Name	Dolores R. Collins
Attorney Docket Number	

Sheet 2

2

91

3

Attorney Docket Number

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	
		Filing Date	Herewith
		First Named Inventor	Thompson Baker
		Art Unit	3711
		Examiner Name	Dolores R. Collins
Sheet 3	of 3	Attorney Docket Number	3711

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Grauzer et al. - Pub. No. US 2003/0094756A1 - DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS - 5/12/2003	
		Grauzer et al. - Pub. No. US 2003/0042673A1 - DEVICE AND METHOD FOR FORMING AND DELIVERING HANDS FROM RANDOMLY ARRANGED DECKS OF PLAYING CARDS - 3/6/2003	
		Grauzer et al. - Pub. No. US 2003/0090059A1 - DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS - 5/15/2003	
		Grauzer et al. - Pub. No. US 2003/0073498A1 - CARD SHUFFLING APPARATUS WITH AUTOMATIC CARD SIZE CALIBRATION - 4/17/2003	
		Grauzer et al. - Pub. No. US 2003/0052449A1 - DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS - 3/20/2003	
		Grauzer et al. - Pub. No. US 2003/0052450A1 - DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS - 3/20/2003	
		Grauzer et al. - Pub. No. US 2003/0163125A1 - DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS FOR SPECIALTY GAMES - 11/07/2002	
		Johnson et al. - Pub. No. US2002/0017481A1 - COLLATING AND SORTING APPARATUS - 2/14/2002	
		Breeding et al. - Pub. No. US2002/0063389A1 - CARD SHUFFLER WITH SEQUENTIAL CARD FEEDING MODULE AND METHOD OF DELIVERING GROUPS OF CARDS - 5/30/2002	
		Blaha et al. - Pub. No. US2003/0075866A1 - CARD SHUFFLER - 4/24/2003	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.